Wealth Management 2026 Industry Awards
Nomination Questions: Company Executive

STEP 1: CONTACT INFORMATION

The information requested below relates to you, the person completing this nomination form.

NOTE: *We will consider you our primary contact if we have any questions about the company, executive and/or initiative you are submitting for nomination.*

First Name
Last Name
Job Title
Company Name (the company you work for)
Phone
Email
Your relationship to the nominated company (I work for nominated company, parent company or outside agency)

STEP 2: NOMINATED COMPANY INFO

The information requested below relates to the company whose executive or initiative you are nominating for submission.

NOTE: *The information and submission materials you provide may be used online and in print to promote the Industry Awards and identify the company, executive and/or initiative you are submitting for nomination. Please be sure all elements of your nomination have been internally reviewed and approved prior to submission to Wealth Management.*

NAME OF NOMINATED COMPANY

(Enter the name of the company whose executive or initiative is being nominated)

NOMINATED COMPANY LOCATION

(Complete with city, state, country)

NOMINATED COMPANY PROFILE

(This profile should relate to the company associated with the executive or initiative being nominated and is limited to 150 words)

NOTE: *This description will be used in materials to promote the Awards, its finalists and/or winners. Please be sure to include information as you would like it to appear online and/or in print.*

NOMINATED COMPANY WEB ADDRESS

(Provide website address/URL. It will be used to promote finalist and winning submissions online and in print)

PARENT COMPANY NAME

(Provide if applicable)

FINALIST CONTACT INFORMATION

(Provide name, title, company and email of the person who should receive complimentary marketing/PR materials [special Finalist logo, press release template, etc.] should your nomination be named a Finalist)

WINNER AWARD CONTACT INFORMATION

(Provide name, company, email and phone number of the person who should be contacted to have the physical award shipped to them should your nomination be named a Winner)

STEP 3: NOMINATION INFORMATION

The information requested below relates to the executive or initiative you are nominating for consideration.

NOTES: *Unless otherwise specified, the information and submission materials you provide may be used online and in print to promote the Industry Awards and identify the company, executive and/or initiative you are submitting for nomination. Please be sure all elements of your nomination have been internally reviewed and approved prior to submission to Wealth Management.*

You have the option to download a "Helpful Hints" document from the nomination web site regarding completing Steps 3 and 4 of the submission form.

NOMINATION CATEGORIES

There are a total of 28 general categories and a wide array of subcategories to help you refine your category selection. ***Individual executives are eligible for nomination in 30 of the subcategories.***

To review your options in advance, download the 2026 category file lists from the nomination website.

CATEGORY SELECTION

(Select your nomination category/subcategory from the dropdown menu)

NOTE: *Once you make your selection, the Category Criteria will automatically appear for your review along with a series of questions pertaining to the executive or initiative being nominated.*

NOMINATION NAME/TITLE (Executive)

(Provide a Nomination Name/Title** that is **brief** [6-8 words preferred] and **clearly identifies the executive you are submitting for nomination)

(Type the executive's name, title and company as you would like them to appear in print and online [i.e. Joe Smith, CEO, XYZ Corporation or Mary J. Jones, Chief Technology Officer, ABC Financial, etc.])

NOMINEE ROLE

(Briefly describe the role of the individual you are nominating and the scope of his or her responsibility within the company; 125 words maximum)

NOTE: *This information will be used in promotion of the Finalist and/or Winner entries, both online and in print.*

NOMINEE ACHIVEMENTS

(Briefly describe how your nominee has met the criteria for this category over the last 18 months; 100 words maximum)

NOTE: *This information may be used in promotion of the Finalist and/or Winner entries, both online and in print.*

COMPANY RESULTS

(Please provide quantitative and qualitative results your company has experienced due to your nominee's leadership efforts over the last 18 months; 100 words maximum)

NOTE: *This information WILL NOT be made public or seen by anyone other than the judging panel.*

INDUSTRY IMPACT

(Tell us how your nominee's leadership set an example and made a measurable impact on the financial advisor industry over the last 18 months; 100 words maximum)

NOTE: *This information may be used in promotion of the Finalist and/or Winner entries, both online and in print.*

EXECUTIVE HEADSHOT

(Upload a headshot of the executive or team leader being nominated)

Required Image Specs:

- Total size should be 3 inches wide
- Show full face/shoulders at approximately 2 inches wide
- 300 dpi
- jpg, jpeg, tiff files accepted
- Leave some space around the head (not cropped too close)
- Show a medium-tone background (NOT white)
- Label the photo file with the company's name followed by the executive's name and title (XYZ Company_Mary Jones_CEO)

EXECUTIVE CONTACT INFORMATION

(Include the email address of the executive or team leader being nominated; NOTE: this information is for internal use only and will not be made public)

STEP 4: MATERIALS UPLOAD AND FINAL SUBMISSION

The fields below provide the option for you to upload additional materials in support of your nomination, as well as required materials and information to complete the submission process.

COMPANY LOGO (required upload)

(Please upload the logo of the company whose initiative or executive is being nominated)

Required Logo Specs:

- Vector image
- EPS or Adobe Illustrator (AI) file (preferred formats*)
- Label the logo file with the full company name

**You may receive an error message or not be able to complete your submission unless you upload a high-resolution file in an acceptable format (EPS or Adobe Illustrator preferred).*

SUPPORT MATERIALS (optional)

(Up to two documents, such as promotional and marketing materials, research reports, white papers, etc., may be uploaded below in support of your nomination; doc, docx, pdf, xlxs files accepted)

NOTE: Although Support Materials are not required with each nomination, it is strongly recommended that you upload any available materials in support of your nomination. The judges will review all uploaded documents and take them into consideration when evaluating your submission.

NOTE: ALL SUPPORT MATERIALS WILL BE KEPT STRICTLY CONFIDENTIAL.

MULTIMEDIA UPLOAD (optional)

(A 1-2 minute video may be uploaded below in support of your nomination; *3GP, AAC, AVI, FLV, MP4 and MPEG-2 files accepted*)

NOTE: ALL SUPPORT MATERIALS WILL BE KEPT STRICTLY CONFIDENTIAL.

TEAM PHOTO UPLOAD (optional)

(As an option, you can also upload a photo of the team members associated with the initiative you have nominated. This photo may be used in our website gallery or in promotion of the Industry Awards)

Specs: 300 dpi; Jpeg file format preferred.

SUBMISSION MATERIALS AGREEMENT

(Each applicant must read and agree to the following paragraph)

I understand and agree that, with the exception of those sections noted and the optional Supporting Document materials, any/all of the nomination elements provided with this submission may be used "as is" by Wealth Management in support and promotion of the 2026 Industry Awards Program online and in print. Internal review and approval of all materials should be obtained from the Company being nominated prior to submission to Wealth Management. Once materials have been submitted, they cannot be changed or altered.

NOTE: ALL SUPPORTING DOCUMENTATION MATERIALS WILL BE KEPT STRICTLY CONFIDENTIAL.

__ I agree

MULTIPLE NOMINATIONS

Multiple entries per company are allowed. Please be sure to read the guidelines below before proceeding with any additional submissions:

- **Nomination limit:** Individual companies are limited to submitting a total of 10 nominations across all categories. If any company submits more than 10 nominations, the nominator(s) will be asked to select the 10 they would like to have the judges consider for final review.
- **Nominations per category:** More than one initiative can be entered per category.
- **Nominations across categories:** The same initiative/executive can be entered in multiple categories provided the criteria is met. In that case, each submission should be tailored to the specific category criteria. If nominations are not tailored to the criteria, the judges will use their discretion to decide the best category match based on the information provided.

- **Judges' discretion**: If upon review the judges determine a nomination does not meet the specified criteria of the selected category, they will, at their discretion, re-assign the nomination to the proper category.

COPY INTENT

Please choose one option below:

__*Yes, I plan to copy this nomination and submit it into an additional category.*

__*No, I only plan to submit this nomination in one category.*

TO COPY YOUR NOMINATION

To copy your nomination to an additional category, click the button at the bottom of this page that reads, "**I want to enter this, and copy the same nomination to an additional category**." Then click "Save and Submit."

NOTE: The same initiative or executive can be entered in multiple categories **provided the criteria is met.** In that case, **each submission should be tailored to the specific category criteria.** If nominations are not tailored to the criteria, the judges will use their discretion to decide the best category match based on the information provided.